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Taormina, 25<sup>th</sup> - 28<sup>th</sup> October 2023

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# Adapting evidence-based peri-discharge complex interventions for reducing 30-day hospital readmissions among heart failure and COPD patients in Hong Kong

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# Background

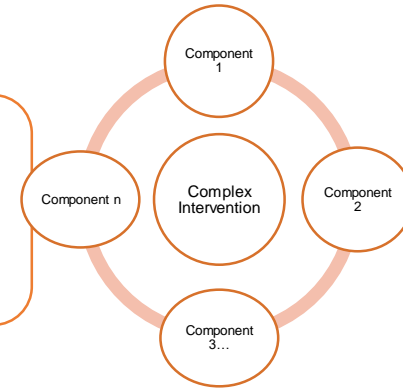
- Avoidable hospital readmission is a key policy problem among healthcare systems globally<sup>1</sup>.
- Heart failure (HF) and chronic obstructive pulmonary disease (COPD) are the two leading causes of hospital readmission<sup>2</sup>.



# Complex interventions for reducing 30-day avoidable hospital readmission

## Definition

- Complex interventions are defined as interventions comprising of multiple interactive components<sup>3</sup>.



## Key Goal

- To ensure a seamless transition from inpatient to outpatient care<sup>4</sup>

## In Practice

- The complexity and components of complex interventions vary across healthcare system contexts, tailored to different needs of different patients.

# Background

- Effectiveness of peri-discharge complex interventions for reducing avoidable readmissions among patients with heart failure or COPD has been synthesized<sup>5-6</sup>.



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## Effectiveness of Peri-Discharge Complex Interventions for Reducing 30-Day Readmissions among COPD Patients: Overview of Systematic Reviews and Network Meta-Analysis

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- Benefits of peri-discharge complex interventions may vary across contexts
- Evidence-based peri-discharge complex interventions should be adapted before implementation.

# Aim

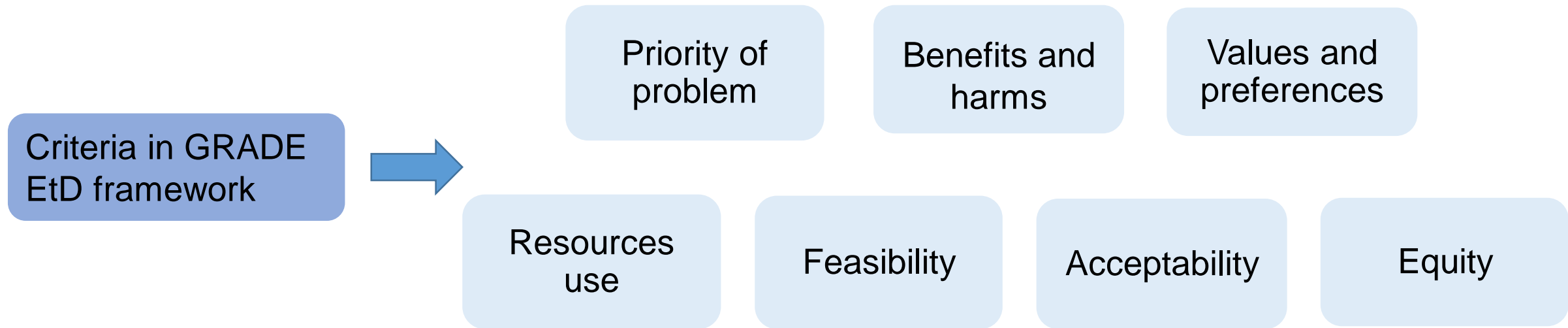
- To adapt evidence-based complex interventions supported by results from previously published network meta-analyses<sup>5-6</sup>
- Tailoring them to fit the context of Hong Kong public healthcare system, from local stakeholders' perspectives.





# GRADE Evidence to Decision Framework

- To translate evidence-based complex interventions into locally adaptable intervention options - [GRADE Evidence to Decision \(EtD\) framework](#)<sup>7</sup> was used as a guide.
- The [transparent nature](#) of the GRADE EtD framework can help stakeholders adapting complex interventions into a new healthcare system context in a [structured and comprehensive](#) manner.



# Methods



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# Preparation of evidence profiles

- Comparative effectiveness results of different peri-discharge complex interventions generated from network meta-analysis are displayed in evidence profiles

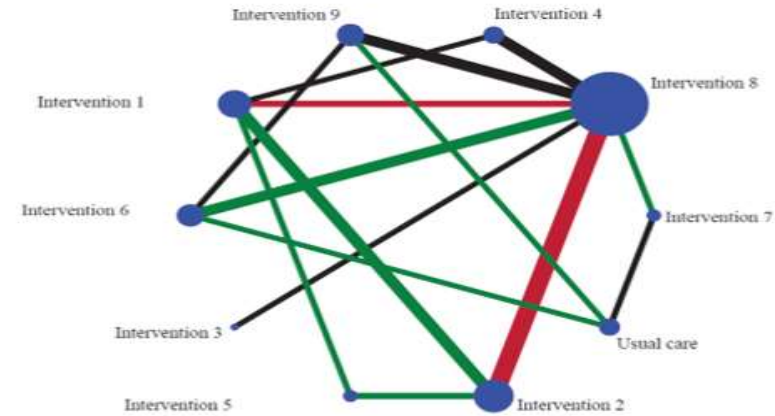
An example of Evidence Profile

**Problem:** Heart failure patients

**Interventions:** Intervention 1, Intervention 2, Intervention 3, Intervention 4, Intervention 5, Intervention 6, Intervention 7, Intervention 8, Intervention 9

**Comparison(reference):** Usual care

**Outcome:** 30-day all-cause readmission



Notes: Peri-discharge complex interventions and usual care are described in Table 1 and 2. Nodes represent the interventions, node sizes correspond to the number of studies involved, lines connecting nodes represent direct comparisons between pairs of interventions. Width of the lines represents the proportion of the number of trials for each comparison as compared to total number of trials. Line color indicates different overall risk of bias level, with red referring to high risk of bias, green referring to low risk of bias and black referring to some concerns.

Complex Interventions (studies/ participants)	Effectiveness Ranking*	Quality of evidence**	Interpretation of findings***	Anticipated absolute effects (No. of readmission)		
				Without intervention (Only provides usual care)	With complex intervention	Difference in No. of readmission and 95%CI
<b>Total: 19 RCTs, 5155 participants</b>						
Intervention 2 (5 RCTs, 596 participants)	1	⊕⊕⊕○ Moderate	Probably superior to usual care	215 per 1,000	101 per 1,000	114 fewer per 1,000 (49 fewer to 179 fewer)
Intervention 4 (3 RCTs, 348 participants)	2	⊕⊕⊕○ Moderate	Probably superior to usual care	215 per 1,000	198 per 1,000	16 fewer per 1,000 (90 fewer to 57 more)
Intervention 5 (1 RCTs, 255 participants)	3	⊕⊕⊕○ Moderate	Probably superior to usual care	215 per 1,000	118 per 1,000	97 fewer per 1,000 (25 fewer to 169 fewer)

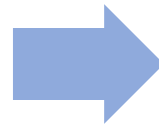




# Methods

- Two 18-participant panels were recruited to carry out a two-step process for both conditions
- Based on the evidence profiles, they use their personal local practice experience and expertise to contribute to:

Step 1: Prioritizing evidence-based peri-discharge complex interventions



Step 2: Formulating recommendations on the prioritized complex interventions based on a two-round Delphi survey



# Step 1: Prioritizing complex interventions

In this step, stakeholders in the panel were asked to provide their judgements on the following **six criteria**<sup>8</sup> for prioritizing evidence-based peri-discharge complex interventions.

➤ Differing from the criteria in GRADE Evidence to Decision framework for making recommendations, **these criteria place emphasis on local contextual and organizational factors** for prioritization.

Local burden of  
readmission

Appropriateness  
of current  
practice patterns

Ongoing  
controversy

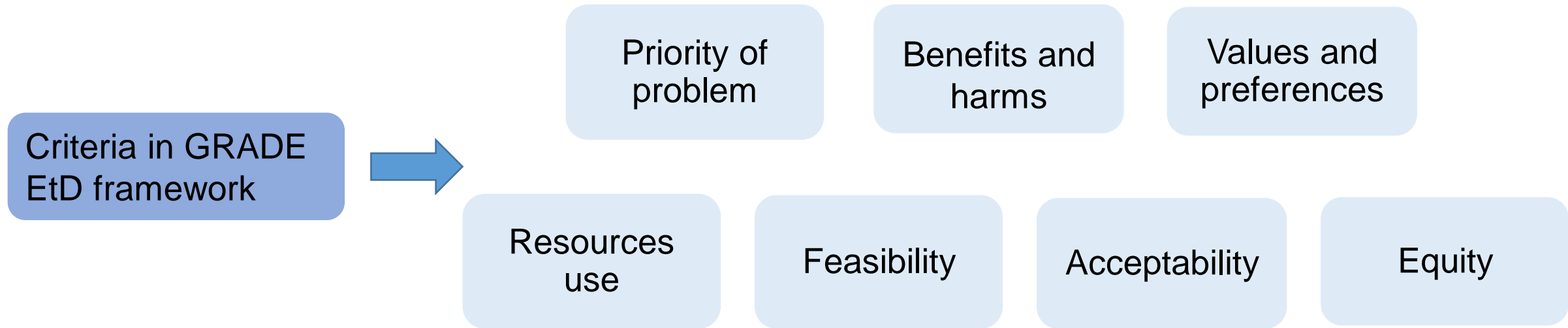
Perceived health  
impact

Availability of  
well-developed  
local guidelines

Methodological  
quality of current  
evidence

# Step 2: Formulating recommendations on the prioritized complex interventions based on a two-round Delphi survey

- Each prioritized complex interventions were presented in a **GRADE evidence to decision (EtD) framework** format.



# Step 2: Formulating recommendations on the prioritized complex interventions based on a two-round Delphi survey

- For each prioritized complex interventions, stakeholders were invited to determine the recommendation level after comprehensively considering the criteria in EtD framework<sup>7-8</sup>.

Intervention B01) Should <b>Supportive-educative intervention</b> , including <u>components of Patient Education (PE), Medication Intervention (MI), and Self-Management (SM)</u> , be implemented for reducing 30-day hospital readmissions among heart failure patients?					
Type of recommendation	B01Q13. To what extent will you recommend/suggest this intervention option?	Recommend against this option	Suggest not this option	Suggest this option	Recommend this option
		◦	◦	◦	◦



# Data analysis

## Delphi Round 1 Questionnaire

Recommendation level for each complex intervention:

- Median rating
- Interquartile range (IQR)
- Percentage agreement (%)
- Qualitative comments from stakeholders

Positive Consensus:  
≥ 70% of stakeholders  
rated “suggest this  
option” or “recommend  
this option”

Endorsed by local stakeholders  
as recommendation

Cut-off level:  
70%<sup>9-10</sup>

Negative Consensus:  
≥ 70% of stakeholders  
rated “suggest not this  
option” or “recommend  
against this option”

## Delphi Round 2 Questionnaire

Interventions with  
neither positive nor  
negative consensus  
reached



# Results

## Lists of endorsed peri-discharge complex interventions for both conditions

### For Heart Failure

### Percentage agreement

**Intervention 1**, including components of *medication intervention*, and *patient education*

77.8%

**Intervention 2**, including components of *medication intervention*, *patient education*, and *self-management*

83.3%

**Intervention 3**, including components of *medication intervention*, *patient education*, *self-management*, *telephone follow-up*, *community service*, and *follow-up scheduled*

72.2%

**Intervention 4**, including components of *medication intervention*, *patient education*, *self-management*, *telephone follow-up*, *case management*, and *discharge planning*

83.3%

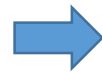
**Intervention 5**, including components of *telephone follow-up* and *patient hotline*

72.2%



For COPD	Percentage agreement
<b>Intervention 1</b> , including components of <i>patient education, patient centered discharge instructions, telephone follow-up, and case management</i>	70.6%
<b>Intervention 2</b> , including components of <i>patient education, patient centered discharge instructions, telephone follow-up, and self-management</i>	76.5%
<b>Intervention 4</b> , including components of <i>provider continuity, rehabilitation intervention, discharge planning, and self management</i>	94.1%
<b>Intervention 7</b> , including components of <i>patient education and rehabilitation intervention</i>	70.6%
<b>Intervention 9</b> , including components of <i>provider continuity, rehabilitation intervention, discharge planning, self management and patient education</i>	70.6%
<b>Intervention 10</b> , including components of <i>patient education, patient centered discharge instructions, telephone follow-up, case management, provider continuity, rehabilitation intervention, discharge planning and self management</i>	82.4%

Five common components for the two conditions



**Case Management, Discharge planning, Patient Education, Self-Management, Telephone Follow-Up**

# Summary

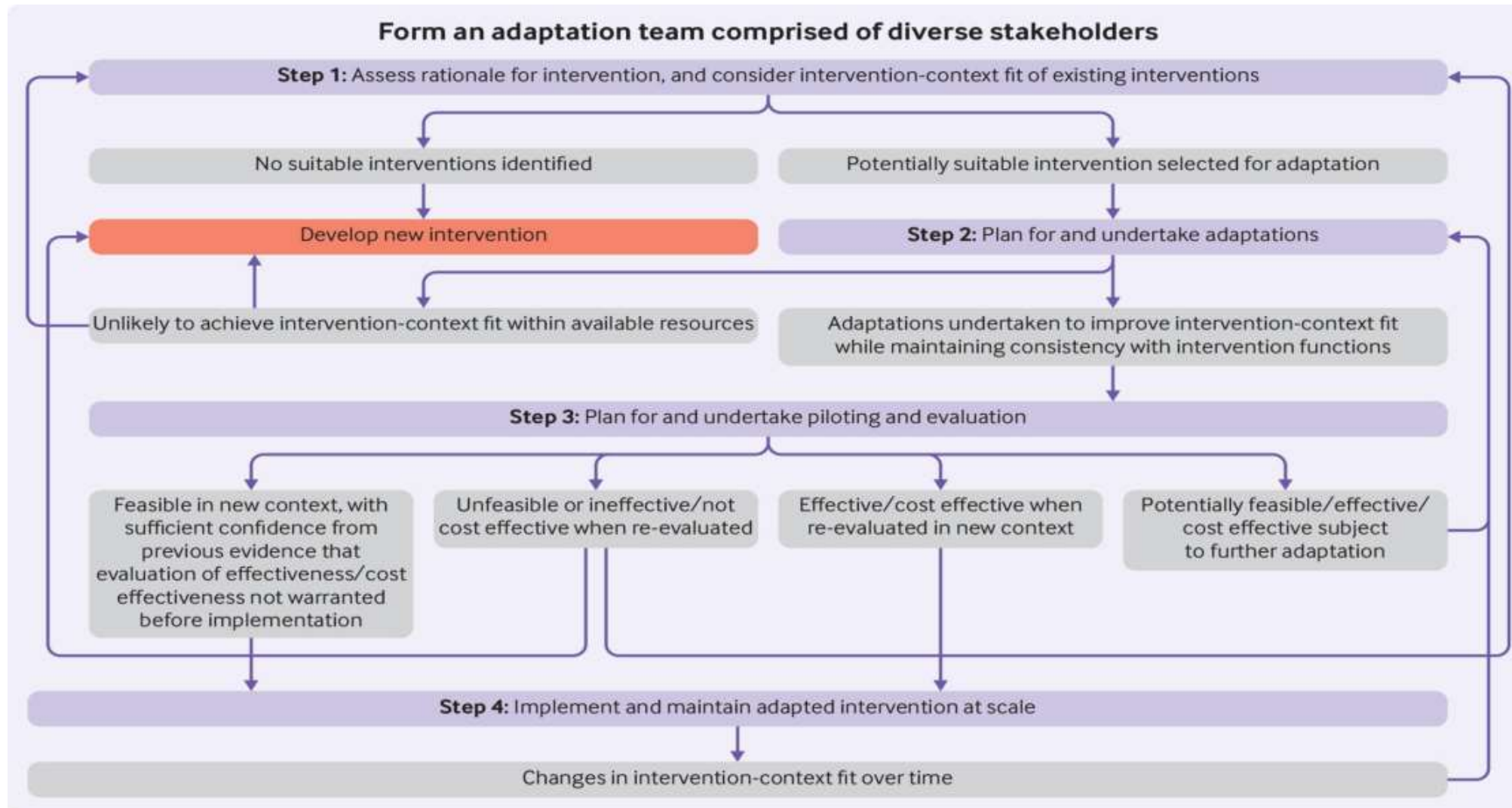
- By applying the GRADE EtD framework, **a list of local stakeholders-endorsed evidence-based complex interventions** for reducing 30-day hospital readmission is established for HF and COPD, respectively.
- Among these adapted Interventions, **five** common components for both HF and COPD are **considered to be core elements for reducing 30-day hospital readmission in the Hong Kong public healthcare system.**

**Case Management, Discharge planning, Patient Education, Self-Management, Telephone Follow-Up – *priority for implementation***



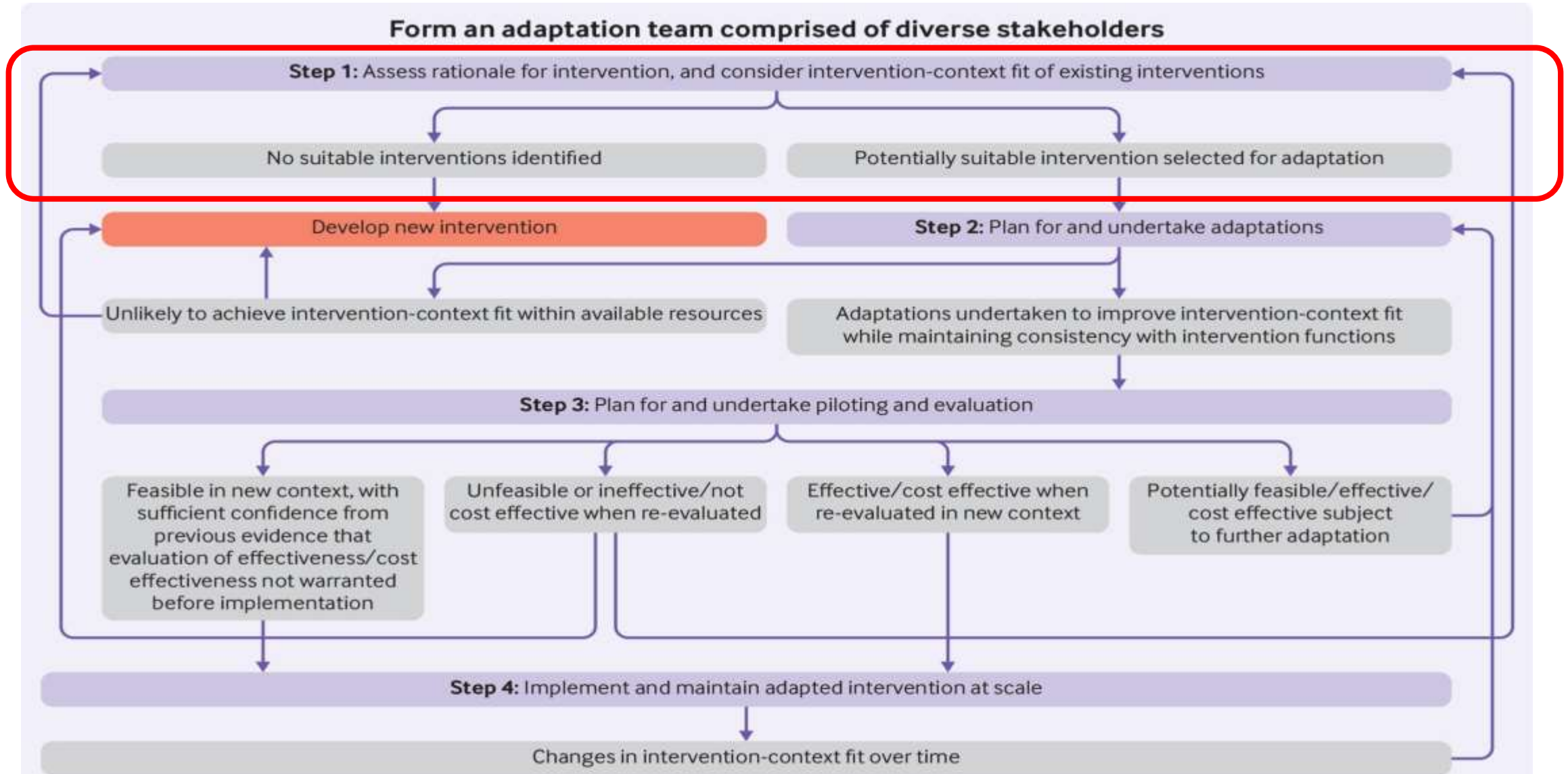
# Implications

- ADAPT guidance - process model for guiding refinement of complex interventions into new contexts<sup>11</sup>



# Implications

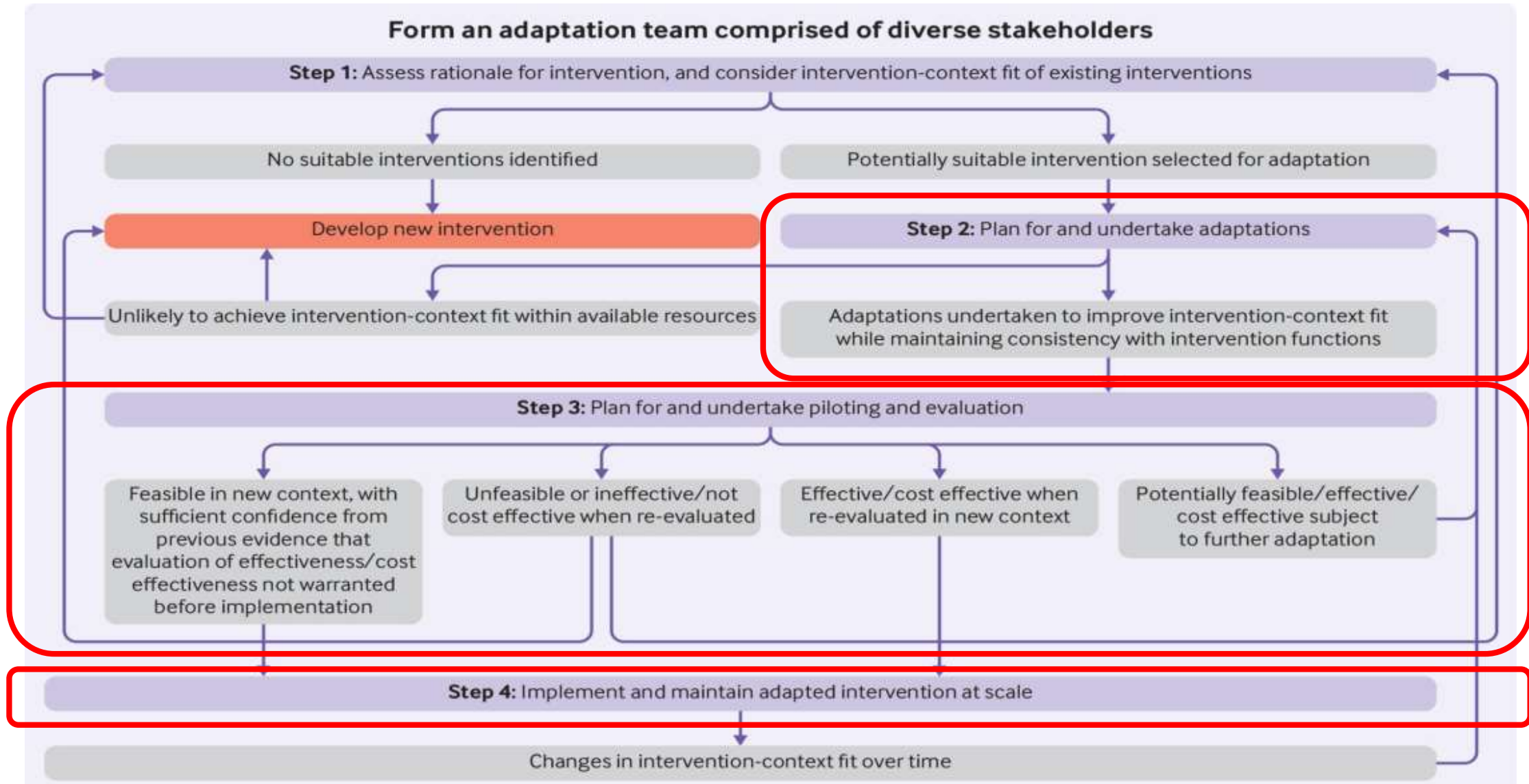
- Our current approach may inform how Step 1 of the ADAPT framework maybe operationalized.





# Further

- Continue to adapt the 5 core interventions using Steps 2-4 in the ADAPT guidance



# Limitations

- Purposive sampling of participants via the investigators' professional networks might induce researcher bias in the selection process.
  - Nevertheless, we believe that the impact of researcher bias would be minimal, as the local stakeholders-endorsed peri-discharge complex interventions could not be established unless participants across all disciplines arrived at consensus.
- The endorsed peri-discharge complex interventions were generated without involvement of patients and caregivers.
  - Future patient and public involvement efforts are required for co-producing intervention details as well as their implementation strategies.



# Conclusions

This study has successfully

- (i) applied the GRADE EtD framework for starting adaptation process of peri-discharge complex interventions, and
- (ii) established a list of local stakeholders-endorsed peri-discharge complex interventions for reducing 30-day hospital readmissions.

Before scaling up these interventions in Hong Kong, further studies for improving intervention-context fit, and assessing real world implementation effectiveness are needed.



# Acknowledgement

## Full text can be accessed here:

Zhong CC, Wong CH, Hung CT, Yeoh EK, Wong EL, Chung VC. Adapting evidence-informed peri-discharge complex interventions in reducing 30-day hospital readmissions for heart failure and COPD. *Health Policy and Technology*. 2023 Sep 16:100804.

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## Ethics approval:

This study was approved by the Survey and Behavioural Research Ethics Committee, The Chinese University of Hong Kong, Hong Kong (Reference no.: 012-19). Written informed consent was collected from all participants via email.

**Conflict of interest:** None.



## HEALTH POLICY AND TECHNOLOGY



HEALTH POLICY AND TECHNOLOGY

The challenge to find a viable solution in an ever-changing world

As research is being conducted rapidly at multiple leading technology incubating and startup hubs in all vital regions (i.e. silicon, genome, digital health, precision medicine, AI), it is imperative that we move from the implementation of validated health care IT applications to understand the role of VR in healthcare. We must first work to define what is required for an intervention to be considered VR in order to allow the development of robust evidence to support its implementation. A cross-disciplinary consensus is required to apply the terminology in order to communicate research findings and implement validated VR content more effectively in the future.

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# Thank you!

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